

**IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF PUERTO RICO**

UBS FINANCIAL SERVICES INC. and UBS
FINANCIAL SERVICES INCORPORATED OF
PUERTO RICO,

Petitioners,

Civil No. 16-03220 (PAD)

v.

RAFAEL VIZCARRONDO and MERCEDES
IMBERT DE JESÚS,

Respondents.

NOTICE OF SETTLEMENT

TO THE HONORABLE COURT:

COME NOW Petitioners UBS Financial Services Inc. and UBS Financial Services Incorporated of Puerto Rico and Respondents Rafael Vizcarrondo and Mercedes Imbert de Jesús (collectively, “the Parties”) and, through their undersigned counsel, respectfully notify the Court that the Parties have agreed on the terms of a settlement as to all outstanding claims. The Parties are finalizing the written settlement agreement and will file a stipulation of dismissal within the next thirty (30) days.

Dated: August 28, 2017
San Juan, Puerto Rico

Respectfully Submitted,

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